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Ion Dysregulation in the Pathogenesis of Bipolar Illness

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Abstract

Background: Bipolar disorder is a severe, enigmatic condition that continues to be poorly understood and difficult to treat. True advances in the improvement of the prognosis of this condition will quickly follow insight into its pathogenesis. Ion dysregulatory abnormalities have remained among the most reproducible pathophysiologic alterations in this disease.

Methods: A directed review of studies examining the pathophysiology of bipolar illness was performed.

Results: Several lines of evidence support a central role of ion dysregulation in the pathogenesis of this disorder. Over 75% of all genes associated with bipolar illness are genes that control ion regulation. Measures of intracellular sodium and calcium reveal consistent abnormalities. Endogenous regulators of ion pumps appear to be dysregulated in bipolar patients. All effective agents share common mechanisms of reducing neuronal sodium influx and cellular excitability. And modeling these abnormalities in animals and in vitro produces manic-like, depressive-like behaviors, and cycling.

Conclusion: A model is presented by which ion dysregulation can produce most of the characteristics of bipolar disorder.

Keywords: Bipolar disorder; Pathophysiology; Pathogenesis; Ions; Sodium; Calcium; Potassium

Introduction

Bipolar illness is a severe psychiatric condition that manifests as episodes of mania and depression, interspersed within a baseline that is initially normal but declines as a function of the duration of time spent ill [1]. Effective treatments are available, but effectiveness is suboptimal, and social and occupational dysfunction is a common outcome [1]. The major deterrent to developing more effective treatments is inadequate understanding of the pathophysiology and pathogenesis of the illness. Pathophysiology describes biochemical changes that occur during the ill phases of the disorder. Pathogenesis alludes to the actual cause of the disorder – the ‘primary fault’ that results in the cascade of brain events that produce mania, depression, and other features of the disorder. While research into both of these arenas is limited, synthesis of the available research is nearly absent. This review will focus on ion flux dysregulation as one of the most promising aspects to understanding the disorder.

Abnormalities in the transport and intracellular concentrations of several ions have been repeatedly reported in bipolar disorder. Specifically, nearly 75% of the susceptibility loci that have been linked to bipolar illness include genes that are involved in ion regulation [2]. Measures of intracellular sodium and calcium reveal consistent abnormalities [3,4]. Endogenous regulators of ion pumps appear to be dysregulated in bipolar patients [5]. All effective agents share common mechanisms of reducing neuronal sodium influx and cellular excitability [6,7]. And modeling these abnormalities in animals and in vitro produces manic-like, depressive-like behaviors, and cycling [8-10]. This review will examine the literature regarding ion dysregulation in bipolar illness.

Methods

This was a directed review, which means that specific topics within the area of ions and bipolar illness were specifically reviewed. Literature regarding ions and genes/genetics, intracellular ion perturbations, endogenous regulators of ion channels and pumps, role of ion dysregulation in cellular/neuronal function and imaging, mechanisms of action of effective mood stabilizing agents, and animal models of bipolar illness was searched and reviewed. Two databases, PubMed and Google Scholar, were used.

Results and Discussion

Early studies

Initial interest in the role of ion dysregulation in bipolar illness began shortly after the demonstration that lithium, a monovalent cation, was effective in the treatment of bipolar illness [11]. The 1950s was a time of significant advances in the understanding of neuronal function – how resting and threshold potentials are maintained, and what causes neurons to fire [12] as well as the discovery of the sodium pump and ion channels [13]. It was thus a natural connection to study ion dysregulation in bipolar patients. Those early studies focused on peripheral red and white blood cells. Experiments were performed in “metabolic units”, where research subjects were maintained for weeks in environments in which the total intake of all important cations was carefully controlled. In these experiments it was found that intrerythrocyte sodium concentrations were elevated in manic patients [14,15]. Studies utilizing whole body distribution of radioactive sodium (²⁴Na), potassium (⁴⁰K), and bromine (⁸²Br) to calculate concentrations in extra vascular compartments, determined that intracellular sodium is increased throughout the body of ill bipolar

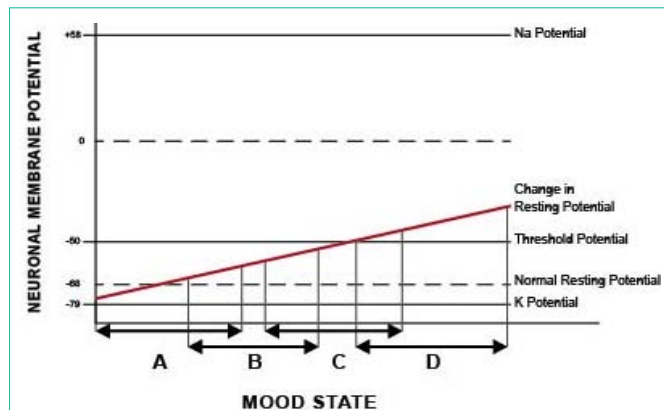


Figure 1: The sodium pump hypothesis purports that as sodium pump activity decreases and intracellular sodium increases, one of the changes that occurs is that the resting potential moves closer to the threshold potential. Thus, the two poles of bipolar illness are actually related to a monopolar pathologic process in which resting potential departs from the normal range (A). Slightly depolarized neurons are easier to stimulate and are less regulated, corresponding to manic symptoms (B). When a fraction of neurons enters into depolarization block, depressive symptoms appear (C). When a large number of neurons enter into depolarization block, the patient appears to be catatonic (D) [21].

patients but not euthymic patients [3,16]. The increases of “residual sodium” (which included intracellular sodium and a small fraction of bone sodium) in mania were 400% that of control, and in depression some 200% of control [3]. These values normalized with treatment.

These studies led to a large number of subsequent studies that looked at the activity of the sodium- and potassium-activated adenosine triphosphatase pump (the sodium pump or Na, K-ATPase) in erythrocyte membranes of bipolar patients [17-19]. A meta-analysis of these studies found that sodium pump activity was indeed reduced in manic and depressed patients compared to both euthymic bipolar subjects and non-bipolar controls [20]. The reduction of pump activity compared to euthymic bipolar subjects was greater in depressed patients (effect size -0.62, confidence interval -1.01 to -0.23, $p = 0.002$) than in manic patients (effect size -0.42, confidence interval -0.69 to -0.15, $p = 0.002$) [20]. These findings, among others, were the basis for the introduction of the sodium pump hypothesis for bipolar illness (Figure 1) [21]. This hypothesis argued that a slight reduction in sodium pump activity resulted in manic symptoms, whereas a greater reduction could result in depressive symptoms and catatonia (Figure 1).

Genetic and ion channel studies

Genetic studies have been frustratingly vague. Many associated genetic anomalies have not been reproducible. Nonetheless, nearly 75% of these genes that have been identified are involved in ion transport and regulation [2]. The most significant of these have been genes directly involved in ion regulation. These include *CACNA1C* (calcium channel, voltage-dependent, L type, alpha 1C subunit) [22], *ANKK3* (ankyrin 3 or node of Ranvierankyrin G) [22-25], and *KCNQ2* (Kv7.2, voltage gated KQT-like subfamily Q, member 2, potassium channel) [26-28]. *CACNA1C* is a calcium pump protein that is involved in the regulation of intracellular calcium concentration. Variants that have been associated with bipolar illness are associated with increased basal intracellular calcium in bipolar patients [29]. Ankyrin is a cytoskeletal protein that is essential for

binding of ion pumps and ion channels [27]. *KCNQ2* has binding motifs for the ankyrinprotein; binding to this cytoskeletal protein allows the potassium channels to be localized in the proper area of the membrane and to function appropriately [30]. Along with *KCNQ3* (Kv7.3), *KCNQ2* interacts with the ankyrin at the first axonal segment to inhibit repetitive firing to prevent neuronal hyperactivity [27,31]. Reducing activity of *KCNQ2* in transgenic mice induces hyperactivity, cognitive decline, and neuronal hyper excitability [32]. Lithium may indirectly affect the protein products of *KCNQ2* and *KCNQ3* by inhibiting their phosphorylation via inhibition of GSKβ (which phosphorylates Kv7.2 and Kv7.3) [26].

Endogenous sodium pump regulators

Early measures of increased intracellular sodium and reduced sodium pump activity were generally performed on circulating red blood cells. Two factors, the first being that erythrocytes do not possess a nucleus, and the second being that these changes are clearly mood-state (not trait) related, suggest that some circulating factor is responsible for these changes. The Na, K-ATPase is known to be the physiologic target for cardiac glycosides, digoxin and ouabain. More recently, it has been discovered that cardenolides with chemical structures very similar to the plant-derived digoxin and ouabain [33,34] are made endogenously in the adrenal and central nervous system of mammals [35,36]. These endogenous cardenolides function in the body the same as their plant-derived look-alikes. Specifically, they have a biphasic curve where low, *physiologic* concentrations *stimulate* the sodium pump, while higher, *pharmacologic* concentrations *inhibit* the pump [37,38]. Consequently, if the reduced activity of erythrocyte Na, K-ATPase in mania and depression has anything to do with circulating endogenous cardenolides, one would expect reduced circulating levels of these cardenolides.

Euthymic type I bipolar subjects have reduced levels of the Ouabain-Life Factor (OLF) [39]. But more importantly bipolar patients are unable to increase levels of ouabain-like factors at times that normal control subjects have increased levels of OLF. Specifically, exercise to exhaustion is known to increase levels of endogenous cardenolides [40], however, when euthymic bipolar patients are instructed to exercise to exhaustion, they are unable to exercise as extensively as non-bipolar controls [41], and they produce lower levels of OLF [39]. Similarly, it has been found that endogenous cardenolide levels normally have a seasonal pattern in which levels are low in the winter, but significantly higher in the spring, summer, and autumn [42]. However, bipolar patients have lower, winter-like levels throughout the entire seasonal cycle [42]. Inability to regulate production of endogenous cardenolides increases the risk for bipolar patients to have lower levels of these cardenolides when there is a physiologic need to have higher levels. For example, in normal pregnancy there is an increase in the endogenous OLF and a corresponding drop in blood pressure (because at physiologic concentrations OLF increases sodium pump activity) [43,44]. This higher concentration of OLF drops rapidly within 3 -5 days of delivery, but remain higher than baseline [44]. OLF in pregnant women with bipolar has not been measured, but would be expected to be lower during pregnancy – possibly playing a role in making such pregnancies higher risk – and to drop faster than occurs in non-bipolar subjects – possibly increasing the risk for post-partum depression or psychosis.

Clinical membrane potential measurements

If sodium pump activity and cytoskeletal proteins associated with ion regulation are altered, or ion channels are dysfunctional, or regulatory proteins are under expressed, then one might expect membrane potential of cells to be altered in pathologic mood states of bipolar patients. Indeed, examination of Transmembrane Potentials (TMP) in lymphocytes from manic type I patient's reveals that the TMP of these cells is altered [45]. This is a state-related abnormality since while manic subjects have hyperpolarized circulating lymphocytes this membrane potential difference approaches normal with euthymia [45], and immortalized lymphoblasts of patients with bipolar illness and unaffected family members are not different from normal control subjects [46]. Lithium treatment would be expected to normalize the altered TMP [47], and may be responsible for normalization of intracellular ion concentrations [48]. These findings are important in their own right, but were also utilized as the basis for a potential diagnostic blood test for bipolar illness in which TMP is measured in ionically-stressed peripheral blood cells of symptomatic patients [49,50].

Imaging studies

Early PET imaging studies showed that whole brain glucose utilization was decreased in patients diagnosed with bipolar disorder compared with others [51]. Animal imaging studies demonstrated that animals that receive intracerebroventricular ouabain similarly have low levels of brain glucose utilization, but animals receiving ouabain with lithium pretreatment normalize glucose uptake [52]. Imaging studies also determined that the most abnormal glucose utilization was in the frontal lobe (the target of many antidepressant drugs) and the basal ganglia [53-55]. SPECT studies of the brain showed that patients with bipolar disorder have lower cerebral blood flow that is more evident in the frontal cortex and basal ganglia [56].

The sodium pump of the Na, K-ATPase utilizes about half of the brain's total metabolic demand [57]. Therefore, low glucose and cerebral blood flow have direct effects on the function of the Na, K-ATPase and ion regulation in the brain.

Several different neurotransmitters have been implicated as being irregular through imaging studies in bipolar disorder. This includes dopamine [58-60], serotonin [61,62], GABA [63,64], and glutamate [65,66]. Because so many different neurotransmitters are irregular in bipolar disorder, it is logical to assume the pathogenesis is upstream from the individual neurotransmitters. The disorder most likely involves an irregularity before the synapse.

Animal models

Animal models are ultimately essential for confirming any hypothesis of pathogenesis of bipolar illness. Human studies can confirm associations and determine if predictions are indeed accurate, but can never produce cause-effect data, which are needed to determine that an abnormality *causes* a disease. Thus, while it may be difficult to know if an animal is "manic" or "depressed," animal models are, nonetheless, the strongest evidence that can be provided. Several animal models demonstrate that alterations of ion homeostasis induce both manic-like behavior and depressive-like states in rodents.

A pharmacologic model in which ouabain, a potent inhibitor

of brain-specific sodium pumps ($\alpha 1$ and $\alpha 2$ subunits of the Na, K-ATPase), is administered Intracerebroventricularly (ICV) to rats demonstrates that the rats will display for hyper- and hypo activity in a dose-related manner [67-69]. Lithium, and to some degree carbamazepine, reduce or normalize abnormal ouabain-induced behavioral changes [36,68]. The antipsychotics, haloperidol and cariprazine also normalize behavior in rats receiving ICV ouabain, and both agents are useful in mania [70-72]. This may be due to the activation of Na, K-ATPase activity by dopamine blockade [7].

Use of dihydro-ouabain in an in vitro model of stimulus-response in rat hippocampus slices is the only pre-clinical model for rapid cycling [8].

Genetic models have also been introduced. Knocking out the $\alpha 3$ isoform of the Na, K-ATPase produces behavior in rats that resembles both mania and depression and responds to treatments that may be helpful in patients with bipolar illness [10,73,74].

Downstream consequences of ion dysregulation

Increased intracellular sodium can alter other more active ions such as calcium and hydrogen (protons). Increases in free intracellular calcium as a function of mood state have been reported in peripheral blood cells of bipolar patients, including both platelets [4,75,76] and white blood cells [4]. Alterations in intracellular calcium may affect multiple second messenger systems [77,78]. This, in turn, can alter multiple cellular processes including excitability, mitochondrial activity, and resilience to apoptotic stimuli [79,80]. Additionally, there is an intimate relationship between elevated intracellular calcium and inflammation processes [81]; the latter has been associated with the pathophysiology of bipolar disorder [82].

Similarly, brain-imaging studies that measure intracellular pH have demonstrated a lower pH (i.e., higher proton concentrations) in unmediated bipolar adults [83,84] and manic adolescents [85]. Lithium and other mood stabilizers alkalinize the cytoplasm [86,87]. These alterations may have a wide range of consequences in bipolar patients. Similarly, alterations in cytoplasmic pH will alter multiple aspects of neuronal function [88].

Both elevated intracellular calcium and hydrogen are targets of treatment in bipolar illness. Calcium channel blockers have been used successfully for the treatment of bipolar illness [89], but their use has not caught on for several reasons [90,91]. Similarly, reduced intracellular pH may respond to acidification of extracellular fluid as with the ketogenic diet [92], so that bipolar patients may achieve stability if they remain on the ketogenic diet [93].

Integrative model for pathogenesis of abnormal mood states

One of the mysteries of bipolar illness is the fact that the brain functions essentially normally in between episodes, but is quite impaired during an episode. While it is conceived as a mood disorder, it is clear that all aspects of brain function are impaired during an episode including motor movements, sensory perception, cognition, speed of response and processing, neuroendocrine function, and mood. Interepisode cognitive dysfunction is a late phenomenon, and probably occurs as a consequence of the neurotoxic aspects of mania and depression. Consequently, the essence of bipolar illness can be considered as episodic brain dysfunction. Any proposed hypothesis

regarding the pathophysiology must take periods of normal brain function into account.

The studies reviewed in this paper suggest that a primary fault in ion transport or regulation can result in both manic and depressive symptoms. It is proposed that changes in resting membrane potential of both neurons and glia can produce both manic and depressive symptoms (Figure 1). These changes in membrane potential are found throughout and can actually be measured in ill patients. While the original hypothesis focused on sodium pump activity [21], changes in membrane potential can be brought about by changes in sodium channel, potassium channel, or sodium pump activity. These changes can be caused directly by abnormalities in the actual channels (such as the potassium channels), or indirectly by alterations in the cytoskeletal proteins supporting the channels (ankyrin G). The periodicity of this dysfunction may be related to rhythm control genes (*CLOCK* gene), or by ion transport regulating hormones that are inappropriately elaborated (endogenous cardenolides).

This hypothesis is consistent with treatment response. Nearly all effective treatments used in bipolar illness reduce the concentration of sodium, calcium, or hydrogen either directly or indirectly [6,7]. The most effective mood stabilizers are agents that reduce intracellular sodium accumulation in an activity-dependent fashion (i.e., lithium, valproic acid, carbamazepine, and lamotrigine).

Nonetheless, there is great heterogeneity in the presentation, genetics, and treatment response in people with bipolar disorder. This suggests the presence of endophenotypes in this condition. Ongoing research examining the ion regulatory apparatus in bipolar disorder is required to clarify the actual pathoetiologic mechanisms.

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